

6.2 Phase transitions

The fundamental building blocks of the SM are fields.

They determine energy density ρ , pressure P , ...

So far we only considered the contribution of particles.

There are also contributions from fields not associated with particles, e.g., from a constant Higgs field.

$$\rho = T^{00}, \quad P = \frac{1}{3} T^{nn}$$

$T^{\mu\nu}$: energy-momentum tensor

Energy momentum tensor due to some scalar field χ

(without calculation, ignoring gravity):

$$T_{\mu\nu} = \partial_\mu \chi \partial_\nu \chi - \gamma_{\mu\nu} \mathcal{L}$$

$$\partial_\mu \chi \equiv \frac{\partial \chi}{\partial x^\mu}$$

$$(\gamma_{\mu\nu}) = (\gamma^{\mu\nu}) = \begin{pmatrix} 1 & & 0 \\ & -1 & \\ 0 & & -1 & \\ & & & -1 \end{pmatrix} \quad \text{Minkowski metric}$$

$$\mathcal{L} = \frac{1}{2} \gamma^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - V(\chi) = \frac{1}{2} (\dot{\chi}^2 - (\nabla \chi)^2) - V(\chi)$$

$V(\chi)$: potential

This gives

$$\rho = T^{00} = \dot{\chi}^2 - \mathcal{L} = \frac{1}{2} \dot{\chi}^2 + \frac{1}{2} (\nabla \chi)^2 + V(\varphi)$$

$$T^{mn} = \partial_m \chi \partial_n \chi + \delta_{mn} \left[\frac{1}{2} \dot{\chi}^2 - \frac{1}{2} (\nabla \chi)^2 - V \right]$$

$$\begin{aligned} P &= \frac{1}{3} T^{mn} = \frac{1}{3} \left\{ (\nabla \chi)^2 + 3 \left[\frac{1}{2} \dot{\chi}^2 - \frac{1}{2} (\nabla \chi)^2 - V \right] \right\} \\ &= \frac{1}{2} \dot{\chi}^2 - \frac{1}{6} (\nabla \chi)^2 - V \end{aligned}$$

For constant χ : $\rho = V$, $P = -V$

example: $V(\chi) = \frac{m^2}{2} \chi^2$



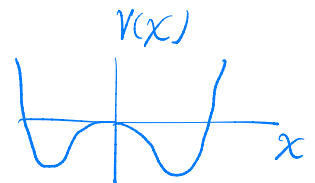
for $m^2 > 0$ the minimum of the potential
= the maximum of the pressure
is at $\chi = 0$

This is the state of smallest ρ and largest P ,
the stable ground state of the system.

Now consider

(*) $V(\chi) = -\frac{\mu^2}{2} \chi^2 + \frac{\lambda}{4} \chi^4$

with $\mu^2, \lambda > 0$



The ground state is at $\chi = \pm v$ where

$$V'(v) = -\mu^2 v + \lambda v^3 = 0 \quad , \quad v = \frac{\mu}{\sqrt{\lambda}}$$

The potential for the Higgs field $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$

with complex ϕ_1, ϕ_2 :

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2 \quad \text{with } \phi^\dagger = (\phi_1^*, \phi_2^*)$$

define $x := \sqrt{2 \phi^\dagger \phi}$

Then $V(\phi)$ looks just like (*).

At high temperature we must add minus the pressure of the particles to V , which defines the effective potential

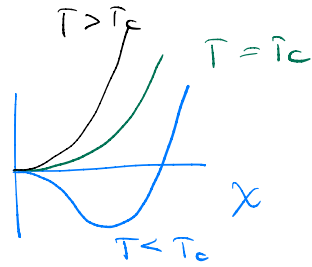
$$V_{\text{eff}}(x) = V(x) - P_{\text{particles}}(x)$$

We had $P_{\text{particles}} = c_0 T^4 - \frac{c_2}{2} T^2 x^2 + \dots$

The first term can be ignored when looking for the minimum of V_{eff} . This gives

$$V_{\text{eff}}(x) = \frac{1}{2} (c_2 T^2 - \mu^2) x^2 + \frac{\lambda}{4} x^4$$

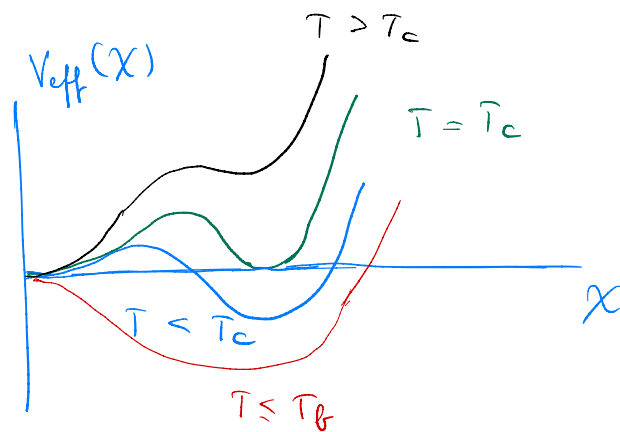
At $T = T_c := \mu/c_2$ the quadratic term changes sign.



This looks like a second order phase transition.

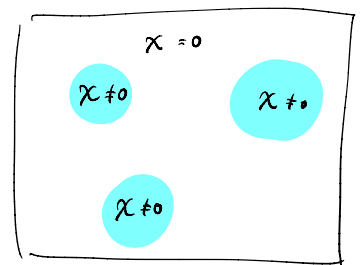
Including higher order in the expansion in m^2 one obtains a cubic term in V_{eff} :

$$V_{\text{eff}} = \frac{1}{2}(c_2 T^2 - \mu^2) \chi^2 - \frac{B}{3} \chi^3 + \frac{A}{4} \chi^4$$



This suggests a first order phase transition, which would give a discontinuity from equilibrium:

- For $T_c \geq T > T_b$: supercooling nucleation of droplets with $\chi \neq 0$



Droplets expand and collide until the whole Universe is in the phase with $\chi \neq 0$.

However, it turns out that perturbation theory is not reliable and that there is no phase transition (PT) in the SM. Simple extensions of the SM have a first-order PT. This could have produced gravitational waves which might be detectable with LISA.

6.3 Baryon number violation

The Lagrangian of the SM is invariant under the $U(1)$ transformations

$$q_i \rightarrow e^{i\varepsilon_B} q_i \quad \text{for quarks}$$

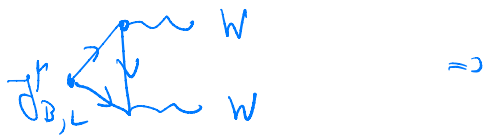
$$l_i \rightarrow e^{i\varepsilon_L} l_i \quad \text{for leptons}$$

Naively this would imply baryon (B) and lepton number (L) conservation, described by the continuity equations

$$\partial_\mu J_B^\mu = \partial_\mu J_L^\mu = 0$$

$$[\text{In components: } \partial_\mu J^\mu = \underbrace{\frac{\partial}{\partial t} J^0}_{\text{charge density}} + \nabla \cdot \underbrace{\vec{J}}_{\text{current}}]$$

Quantum effects violate these symmetries



$$\partial_\mu J_B^\mu = \partial_\mu J_L^\mu = \frac{n_f}{16\pi^2} g^2 \vec{E}^a \cdot \vec{B}^a$$

$n_f = 3$ number of fermion families

g : weak $SU(2)$ gauge coupling

$\vec{E}^a, \vec{B}^a$: weak- $SU(2)$ electric and magnetic field

This violates B - and L conservation but still conserves $B-L$.

integrate:

$$\int_0^t dt' \int d^3x' \partial_\mu J_B^\mu = \int_0^t dt' \frac{\partial}{\partial t'} \underbrace{\int d^3x' J_B^0}_{= B(t')} \Rightarrow$$

$$B(t) - B(0) = n_f Q(t)$$

with

$$Q(t) = \int_0^t dt' \int d^3x' \frac{g^2}{16\pi^2} \vec{E}^a \cdot \vec{B}^a$$

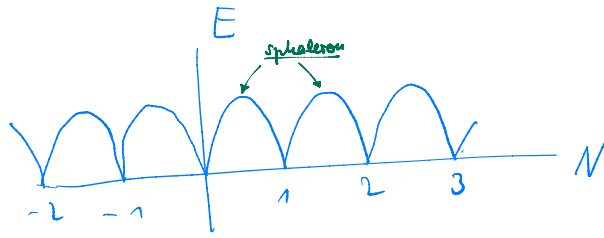
One can show that the integrand is also a total derivative $\partial_\mu K^\mu \Rightarrow$

$$Q(t) = N(t) - N(0)$$

with

$$N = \int d^3x K^0$$

In the vacuum N is an integer. The SM has "many vacua", separated by an energy barrier



A transition between two neighboring vacua changes B as L by $\pi\varphi$.

At $T=0$ the transition can only proceed through quantum tunneling with a tiny rate.

At high T one can hop over the barrier, the so called Sphaleron.

Sphaleron energy $E_{\text{sph}} \propto \frac{|\langle \varphi \rangle|}{g}$

Since $\varphi \rightarrow 0$ for $T > T_c$, the barrier vanishes above T_c and $B+L$ is rapidly violated.